#### **CHAPTER I**

### **INTRODUCTION**

This chapter presented the background of study, research questions, objectives of the study, significances of the study, scope and limitation of the study and the definition of the key terms.

### A. The Background of Study

Literature is the medium used by the writer in transmitting the opinion or ideas to express the point of view towards life. The writer could write the conditions that happened around the society. Based on Wellek and Warren (1956), literature reserved about life, in most part of the real society. Based on that statement, the reflection of the real life is called by literacy work. It contains of the social and cultural background which indicates that society and literature nearly related, because literature expresses the situations, conditions and also problems that happened in society.

There are many kinds of literacy works such as, novel, poem, drama, songs, and others. We can find all of them in our life. One of them that tells us about the phenomenon around society is novel. According to Sarjannah (2013), novel is book length story in prose, whose writer tries to create the sense that, while the reader read, they experience the actual life in it. So, novel is a medium that shows us about the relation between literacy work and social life.

People can learn about how to communicate well with others through novel, because it is written communication form. It has literary language. Based on Gartside (1986), the art of sharing anything which is called communication, there is vital sense in it, it means a sharing of feelings, information, opinion and ideas in a mood mutual understanding. Based on that statement, so, people can share their opinion, feelings, ideas, or information with others through communication. In building a good relationship or interaction with others, people should do an effective communication and they also should become good hearer or good speaker in communication process that happened in our life.

Novel can be analyzed by many kinds of theories, like figure of speeches, speech acts, maxims, politeness strategy and there are many others. This research also analyzed novel and focused on politeness principles, because in communication process, politeness is one of important things. By using politeness between speaker and hearer, the communication will be effective. Politeness is as a symbol or a sign that we respect the other people and indicates that we are good people because, if the speakers use politeness strategy to do communication, so, it will make the hearers can give a good response to speakers' request or questions.

It is interesting thing to choose novel as a literary work to be investigated by using politeness principles. The reasercher chose The Giver Novel by Lois Lowry to find out the way and the word choice of the main characters by using politeness principles. These are some reasons of the

researcher in choosing this novel as a medium. Firstly, there are many politeness principles that will be found in The Giver novel by Lois Lowry, because the story tells us about future life, everything is under control and one of the rules is using precise words, it means that the main characters in The Giver novel are very careful about the language, so they use politeness principles in their utterances. Secondly, The Giver novel by Lois Lowry is the one fiction book of the year in New York Times bestseller and it won some medals, such as: Newbery Medal, William Allen White Award, Regina Medal, and there were many others. The Giver novel also is adapted into film artwork on Walden Media.

There were some previous studies that the researcher found related to this research: the first was in Farida's thesis (2014), "Politeness Strategies Used in Elizabeth Gilberts's Novel *EAT*, *PRAY*, *LOVE*". She found all of the data belong to the four politeness strategies as being proposed by Brown and Levinson they are, bald on record, positive politeness, negative politeness and off record and positive politeness was the most commonly used in that novel.

The second was in Hidayati's thesis (2016), "An analysis of Politeness Strategies used by The Main Characters in Black Swan Movie". She found data that contain politeness strategies. All of the data belong to the four politeness strategies as being proposed by Brown and Levinson they are, 20 bald on record, 69 positive politeness, 23 negative politeness and 18 off record.

The similarities between those previous researches and this research were: the discussion about politeness strategies that used by the characters and politeness was the object of research, but it had different cases. While the differences of first research focused on politeness strategies that used by the characters in the novel. The second research focused on politeness strategies that used by the characters in movie. Both of the researchers used Brown and Levinson's theory, but in this research, the researcher used the different theory, it was Geoffrey Leech's theory about politeness principles.

Based on the explanations above, the researcher was interested in analyzing the politeness principles, especially politeness principles among the main characters and intends to conduct a research entitled: An Analysis of Politeness Principles Used by Main Characters in "The Giver" Novel by Lois Lowry.

# **B.** Research Questions

Based on the background of study, the researcher formulated the research questions as follows:

- 1. What types of politeness principles are used by the main characters in "The Giver" Novel by Lois Lowry?
- 2. What type of politeness principles is the most commonly used by the main characters in "The Giver" Novel by Lois Lowry?

# C. Objectives of the Study

Based on the research questions, the researcher decided some objectives of the study as follows:

- To find out the politeness principles are used by the main characters in "The Giver" Novel by Lois Lowry based on Geoffrey Leech's theory (1983).
- 2. To find out the politeness principle is the most commonly used by the main characters in "The Giver" Novel by Lois Lowry.

## D. Significances of the Study

There were two significances of this study, they were theoretical and practical significance.

# 1. Theoretical significance

It reveals the social phenomena that exists with the pragmatic approach especially about politeness principles on analyzing main characters' conversation, words, utterances or sentences in "The Giver" novel by Lois Lowry.

## 2. Practical significance

### a. The researcher

The researcher can learn more specific about the theories of linguistics, especially for politeness principles. This research also can give the researcher motivation and comprehension about politeness principles and it also will contribute a larger understanding of politeness. So, the researcher can enrich her knowledge about how to communicate well in her daily life.

#### b. The readers

This research will make the readers more understand and know about how to be polite in communication process. In order, to help the readers in choosing words when they communicate to the other people. Then, they can get more additional knowledge about politeness principles. So, they can apply it in their life.

### c. The university

This research can be an additional reference, especially for State Islamic Institute of Studies (IAIN) Kediri's library. It can give some contributions for the next researchers who will like to make further research about politeness principles.

### E. Scope and Limitation of the Study

The scope of this study is the politeness principles in the novel. It is limited on two main characters. They are The Giver and Jonas. Then, this research used Geoffrey Leech's theory about politeness principles, they are: tact maxim, generosity maxim, approbation maxim, modesty maxim, agreement maxim, and sympathy maxim. The data source from this research are the main characters' conversation in "The Giver" novel.

# F. The Definition of Key Terms

The key terms in this study are defined to help clarify the attended study. In this study the researcher gave the definitions about some terms in order to avoid misunderstanding and misinterpretation. It would make the readers more understand about the key terms. The definitions are as follows.

## 1. Politeness Principles

According to Leech (1983), Politeness Principle is decreasing the expression of impolite beliefs in negative version, and there is a corresponding positive version or increasing the expression of polite beliefs which is somewhat less important. Tact Maxim, Generosity Maxim, Approbation Maxim, Modesty Maxim, Agreement Maxim, and Sympathy Maxim are proposed by Geoffrey Leech.

#### 2. Main Characters

Characters are the people who presented in dramatics of narrative work, and they are interpreted by reader as being endowed with the dispositional and moral qualities that are expressed in what they do and what they say in action, (Napitapulu: 2009). Main characters are the most important people in the novel, because they are supporting the novel from beginning to ending. They are as important figures at the center of the story's action. This study refers to two main characters, They are The Giver and Jonas.

### 3. The Giver Novel

It is written by Lois Lowry in 1993. It consists of 23 chapters and 179 pages. The Giver novel by Lois Lowry is the one fiction book of the year in New York Times bestseller and it won some medals, such as, Newbery Medal, William Allen White Award and Regina Medal. This novel is also adapted into film artwork on Walden Media. It tells us about a world without war or conflict, because everything is under control. A role was

assigned by each person in the community, but it is also a world without memory, there are only two people who have the memory, they are Jonas as The Receiver and The Giver.